

FEB 12 2007

## FACSIMILE COVER SHEET

Date: February 12, 2007

To: **Commissioner for Patents  
Patent and Trademark Office  
Mail Stop :AF**  
Fax No.: (571) 273-8300From: Tadashi Horie  
Fax No.: (312) 321 4299  
Tel No.: (312) 321 4285Appln. No.: 09/673,360  
Filing Date: October 16, 2000

Patent No.:

Issue Date:

Title: SPEECH TRANSFORMATION METHOD AND  
APPARATUS

Attorney Docket No. 11934/3

No. of Pages  
(inc. this page): 5Confirmation Copy To Follow: Yes  No IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
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A Professional Corporation  
Intellectual Property AttorneysNBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4299  
Telephone 312-321-4200Indianapolis, IN  
Ann Arbor, MI  
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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8	
<p>I hereby certify that this correspondence, totaling <u>4</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.:571-273-8300 (Central number) on the below date:</p> <p>Date: <u>February 12, 2007</u> Name: <u>Tadashi Horie (Reg. No. 40,437)</u> Signature: <u>Tadashi Horie</u></p>	

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko Oba  
 Appln. No.: 09/673,360  
 Filed: October 16, 2000  
 For: SPEECH TRANSFORMATION METHOD  
 AND APPARATUS  
 Attorney Docket No: 11934/3

Mail Stop AF  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

Examiner: Pierre, Myriam  
 Art Unit: 2626

## TRANSMITTAL

Sir:

## Attached are:

Transmittal (in duplicate); Request to Consider Previously Submitted Information Disclosure Statements  
 Return Receipt Postcard.

## Fee calculation:

No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_ for \_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity	
				Rate	Add'l Fee or	Rate	Add'l Fee
Total		Minus	0	x \$25=		x \$50=	
Indep.		Minus	0	X100=		x \$200=	
First Presentation of Multiple Dep. Claim				+\$180=		+\$960=	
				Total	\$	Total	\$

## Fee payment:

A check in the amount of \$\_\_\_\_ is enclosed.  
 Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
 Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

February 12, 2007  
 Date

Tadashi Horie (Reg. No. 40,437)

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PAGE 2/5 \* RCVD AT 2/12/2007 4:28:00 PM [Eastern Standard Time] \* SVR:USPTO-EXRF-5/18 \* DNI:2738300 \* CSID:13123214299 \* DURATION (mm:ss):01:14

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In re Appn. of: Toshihiko Oba

Appn. No.: 09/673,360

Filed: October 16, 2000

For: SPEECH TRANSFORMATION METHOD  
AND APPARATUS

Attorney Docket No: 11934/3

Examiner: Pierre, Myriam

Art Unit: 2626

Mail Stop AF  
Commissioner for Patents  
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Alexandria, VA 22313-1450

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Total		Minus		0	x \$25=		x \$50=	
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First Presentation of Multiple Dep. Claim					+\$180=		+\$360=	
					Total \$		Total \$	

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Respectfully submitted,

February 12, 2007

Date

Tadashi Horie (Reg. No. 40,437)

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PAGE 3/5 \* RCVD AT 2/12/2007 4:28:00 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-5/18 \* DNIS:2738300 \* CSID:13123214299 \* DURATION (mm:ss):01:14

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February 12, 2007

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

  
Signature

February 12, 2007

Date of Signature

Our Case No. 11934/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Toshihiko Oba )  
Serial No. 09/673,360 ) Examiner: Pierre, Myriam  
Filing Date: October 16, 2000 ) Group Art Unit No. 2626  
For: SPEECH TRANSFORMATION )  
METHOD AND APPARATUS )

**REQUEST TO CONSIDER PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE  
STATEMENTS**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the PTO-1449s submitted with the following  
three Information Disclosure Statements be returned to Applicant.

308786.1

1

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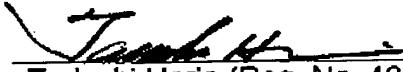
A. Applicant filed a third supplemental Information Disclosure Statement on January 30, 2004. The PTO-1449 submitted with the third supplemental Information Disclosure Statement has not been returned to Applicant. Examiner Nolan returned the Information Disclosure Statement itself, along with the Office Action mailed May 25, 2004, but did not return the PTO-1449 submitted therewith. Although Examiner Nolan returned the Information Disclosure Statement with his initials, it seems that not all of the references were initialed.

B. Applicant filed a forth supplemental Information Disclosure Statement on November 22, 2004. The PTO-1449 submitted therewith has not been returned to Applicant.

C. Applicant filed a fifth supplemental Information Disclosure Statement on June 15, 2005. The PTO-1449 submitted therewith has not been returned to Applicant.

Respectfully submitted,

February 12, 2007  
Date

  
\_\_\_\_\_  
Tadashi Horie (Reg. No. 40,437)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200